PTO/SB/21 (12-97)

At and d for use through 9/30/00. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Serial No. 09/991,201 TRANSMITTAL Filing Date November 20, 2001 **FORM** First Named Inventor Terrence A. Tomkow (To be used for all corresp COPY OF PAPERS Group Art Unit 2131 ORIGINALLY FILE Examiner Name Not Yet Assigned Attorney Docket Number RPOST-58937 Total Number of Pages in This Sources ENCLOSURES (check all that apply) Fee Transmittal Form After Allowance Communication **Assignment Papers** to Group (for an Application) Fee Attached (\$130.00) Appeal Communication to Board Drawing(s) (Three (3) Sheets/Figs. 12-14) of Appeals and Interferences Amendment Licensing-related Papers Appeal Communication to Group □ After Final (Appeal Notice, Brief, Reply Brief) Petition Affidavits/declaration(s) Proprietary Information RECEIVED To Convert a Provisional Application Extension of Time Request Status Letter APR 0 3 2002 Power of Attorney, Revocation **Express Abandonment Request** Additional Enclosur SPFICE OF PETITIONS (please identify below): Terminal Disclaimer Information Disclosure Statement POSTCARD Terminal Entity Statement NOTICE OF OMITTED ITEM(S) IN A Certified Copy of Priority NONPROVISIONAL APPLICATION Document(s) Request for Refund Response to Missing Parts/ Remarks Incomplete Application MAR 0 5 2002 Response to Missing Technology Center 2100 Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT FULWIDER PATTON LEE & UTECHT, LLP Firm or ELLSWORTH R. ROSTON, ESQ., REG. NO. 16,310 Individual Name Signature Edlamenth R. Krato

	CERTIFICATE OF MAILING	
I hereby certify that this c envelope addressed to: As	orrespondence is being deposited with the United States Postal Service as first class mail in an sistant Commissioner for Patents, Washington, D.C. 20231 on this date:	February 5, 2002
Typed or printed name	ELLSWORTH R. ROSTON, ESQ., REG. NO. 16,310	
Signature	Elleworth R. Roston	

February 5, 2002

Date





PTO/SB/17 (11-01)
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 130.00

Sports to tr concertor or in					
Complete if Known					
Application Number	09/991,201				
Filing Date	November 20, 2001				
First Named Inventor	Terrence A. Tomkow				
Examiner Name	Not Yet Assigned				
Group Art Unit	2131				
Attorney Docket No.	RPOST-58937				

METHOD OF PAYMENT (check all that apply)				F	EE CALCULATION (continued)]	
X Check Credit card Money Other None		3. ADDITIONAL FEES					
Deposit Account:		Large Entity Small Entity					
Deposit	Fee Cod	Fee e (\$)	Fee		Fee Description Fee Paid		
Account Number 06-2425	105	130	205	65	Surcharge - late filing fee or oath		
Deposit Account Name FULWIDER PATTON, et al.	127	50	227	25	Surcharge - late provisional filing fee or		
The Commissioner is authorized to: (check all that apply)	1				cover sheet	1	
Charge fee(s) indicated below Credit any overpayments	139	130		130	Non-English specification	A	
Charge any additional fee(s) during the pendency of this applicatio	n e	2,520	i	2,520	For filing a request for ex parte reexamination	4	
Charge fee(s) indicated below, except for the filing fee	112	920*	1112	920*	Requesting publication of SIR prior to Examiner action	ች	
to the above identified deposit account,	113	1,840*	113	1,840*	For filing a request for ex parte reexamination Requesting publication of SIR prior to Examiner action Requesting publication of SIR after Examiner action Extension for reply within first month	淅	
FEE CALCULATION	115	110	215	55	Extension for reply within first month	岩	
1. BASIC FILING FEE	116	400	216	200	Extension for reply within tirst month Extension for reply within second month Extension for reply within third month Extension for reply within fourth month Extension for reply within fifth month Notice of Anneal	Fin	
Large Entity Small Entity Fee Fee Fee Fee Fee Description	117	920	217		Extension for reply within second month		
Code (\$) Code (\$) Fee Paid		1,440	218		Extension for reply within fourth month		
101 740 201 370 Utility filing fee	1	1,960	228		2		
106 330 206 165 Design filing fee		·			Extension for reply within fifth month		
107 510 207 255 Plant filing fee	119	320 320	219	160	1101100 017 147001		
108 740 208 370 Reissue filing fee	120 121	280	221	160	Filing a brief in support of an appeal		
114 160 214 80 Provisional filing fee	i i	1,510	i	1,510	Request for oral hearing Petition to institute a public use proceeding	EIVED	
SUBTOTAL (1) (\$)	140	110	240	55		1-, 4	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1	1.280	241	640	Petition to revive - unavoidable Petition to revive - unintentional	3 2002	
Fee from Extra Claims below Fee Paid	l .	1,280		640			
Total Claims -20** = X ==	143	460		230	Design issue fee OFFICE OF	PETITIONS	
Independent - 3** = X =	144	620		310	Plant issue fee	FILLOWS	
Multiple Dependent =	122	130	122	130	Petitions to the Commissioner 130	!	
	123	50	123	50	Processing fee under 37 CFR 1.17(q)	1	
Large Entity Small Entity	126	180	126	180	Submission of Information Disclosure Stmt		
Fee Fee Fee Fee Fee Description	581	40	581	40	Recording each patent assignment per property (times number of properties)		
102 84 202 42 Independent claims in excess of 3	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 ** Reissue independent claims over original patent	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		
over original patent 110 18 210 9 ** Reissue claims in excess of 20	179	740	279	370	Request for Continued Examination (RCE)	1	
and over original patent	169	900	169	900	Request for expedited examination		
SUBTOTAL (2) (\$)	Other	fee (si	r pecify)	of a design application		
**or number previously paid, if greater; For Reissues, see above	ļ				Fee Paid SUBTOTAL (3) (\$) 130.00		
or married providesty paid, it greater, i or meissaes, see above					(-)	_	

SUBMITTED BY				Complete (if	applicable)
Name (Print/Type)	Ellsworth R. Roston	Registration No. (Attorney/Agent)	16,310	Telephone	310-824-5555
Signature	Pelenath Poster			Date	02-05-02

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COPY OF PAPERS ORIGINALLY FILED



PTO/SB/17 (11-01)

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

(\$) 40.00

Complete if Known				
Application Number	09/991,201			
Filing Date	November 20, 2001			
First Named Inventor	Terrence A. Tomkow			
Examiner Name	Not Yet Assigned			
Group Art Unit	2131			
Attorney Docket No.	RPOST-58937			

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)					
Check Credit card Money Other None		3. ADDITIONAL FEES					
Deposit Account:		Large Entity Small Entity					
Deposit	Fee						
Account Number 06-2425	105	ie (\$) 130	205	de (\$) 65			
Deposit					Surcharge - late filing fee or oath		
Account Name Fulwider Patton, et al.	127	50	227	25	Surcharge - late provisional filing fee or cover sheet		
The Commissioner is authorized to: (check all that apply)	139	130	139	130	Non-English specification		
Charge fee(s) indicated below Credit any overpayments	1	2,520	1	2,520			
Charge any additional fee(s) during the pendency of this applicatio	n 112		1	920*	Requesting publication of SIR prior to		
Charge fee(s) indicated below, except for the filing fee	''-	320		J	Examiner action		
o the above identified deposit account.	113	1,840	113	1,840*	Requesting publication of SIR after Examiner action		
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I. BASIC FILING FEE Large Entity Small Entity	116	400	1	200	Extension for reply within second month		
Fee Fee Fee Fee Description	117	920	217	460	Extension for reply within third month		
Code (\$) Code (\$) Fee Paid 101 740 201 370 Utility filing fee	118	1,440	218	720	Extension for reply within fourth month		
101 740 201 370 Utility filing fee	128	1,960	228	980	Extension for reply within fifth month		
107 510 207 255 Plant filing fee	119	320	219	160	Notice of Appeal		
108 740 208 370 Reissue filing fee	120	320	220	160	Filing a brief in support of an appeal		
114 160 214 80 Provisional filing fee	121	280	221	140	Request for oral hearing		
, , , , , , , , , , , , , , , , , , ,	138	1,510	138	1,510	Petition to institute a public use proceeding		
SUBTOTAL (1) (\$)	140	110	240	55	Petition to revive - unavoidable		
. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	141	1,280	241	640	Petition to revive - unintentional		
Ext <u>ra Claims</u> Fee from Fee Paid	142	1,280	242	640	Utility issue fee (or reissue)		
Total Claims -20** = X = =	143	460	243	230	Design issue fee		
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_arge Entity Small Entity Fee Fee Fee Fee <u>Fee Description</u>	126	180	126	180	Submission of Information Disclosure Stmt		
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110 18 210 9 ** Reissue claims in excess of 20	179	740	279	370	Request for Continued Examination (RCE)		
and over original patent		900	169	900	Request for expedited examination of a design application		
SUBTOTAL (2) (\$)	Other	fee (s	pecify)			
**or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00							
SUBMITTED BY Complete (if applicable)							

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/991.201

11/20/2001

Terrence A. Tomkow

RPOST-58937

CONFIRMATION NO. 3395

FORMALITIES LETTER

24201
FULWIDER PATTON LEE & UTECHT, LLP
HOWARD HUGHES CENTER
6060 CENTER DRIVE
TENTH FLOOR
LOS ANGELES, CA 90045

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Date Mailed: 01/17/2002

OFFICE OF PETITIONS

NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) Fig 12,13 and 14 described in the specification.
- I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if is determined that the item(s) was received by the USPTO.
- II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date must be filed within TWO MONTHS of the date of this Notice.
- III. The failure to file a petition (and petition fee) under the above options (I) or (II) within TWO MONTHS of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b). In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

A copy of this notice <u>MUST</u> be returned with the reply.

BH

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE